

SEMITOOL

MAR 05 2002

COPY

COPY OF PAPERS
ORIGINALLY FILED

February 11, 2002

RECEIVED

FEB 12 2002

Robert Polit
Polit & Associates, LLC
3333 Warrenville Road Suite 520
Lisle, IL 60532

Re: "Automated Chemical Management System Executing Improved Electrolyte
Analysis Method"
Our File Number: P01-0006
Your File Number: P1260US

Dear Bob:

Please find the following original documents for the above captioned matter:

- * Documents sent to Lyndon Graham on October 8, 2001 & January 21, 2002

If you have any questions or need additional information, please let me know.

Very Truly Yours,


Janice Pike

Intellectual Property Department

Encl.: As noted

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January 21, 2002

VIA FEDERAL EXPRESS

Lyndon Graham
1937 SE Morgan Rd.
Hillsboro, OR 97123

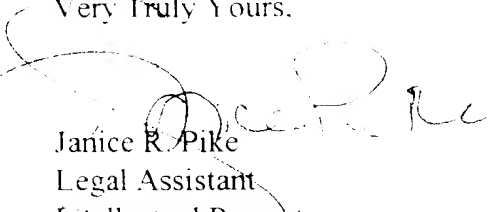
Re: "Automated Chemical Management System Executing Improved Electrolyte
Analysis Method
Our file number P01-0006

Dear Mr. Graham:

Enclosed please find a Combined declaration and power of attorney form for the above captioned patent application requiring your signature. Execute the document where indicated and then return the original to me for filing as soon as possible so we can meet our deadline.

If you have any questions, please feel free to contact me directly. You can reach me by phone at 406.758.7592 or by e-mail at jpike@semitool.com.

Very Truly Yours,



Janice R. Pike
Legal Assistant
Intellectual Property

Encl.: As noted above

Practitioner's Docket No. 4492P1260US

PATENT

MAR 16 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inter application of: Chen, Linlin
Application No.: 09 931,268
Filed: 08 16 2001
Group No.: 1743
Examiner: Unassigned
For: Automated Chemical Management Executing Improved Electrolyte Analysis Method

Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. section 1.8(a)

I hereby certify that the attached correspondence comprising:

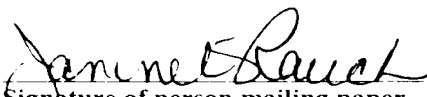
Declaration and Power of Attorney for Patent Application

is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on Feb. 11, 2002.

Janine E. Rauch


Signature of person mailing paper

MAR 05 2002

COPY OF PAPERS
ORIGINALLY FILED

Practitioner's Docket No. 4492P1260US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chen, Linlin
Application No.: 09 931,268
Filed: 08 16 2001
Group No.: 1743
Examiner: Unassigned
For: Automated Chemical Management Executing Improved Electrolyte Analysis Method

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF FORMAL DRAWINGS

In response to the NOTICE OF INFORMAL DRAWINGS that is part of the Notice to File Missing Parts mailed on September 17, 2001 attached please find:

- (a) the formal drawing(s) for this application.
Number of Sheets: 4

Each sheet of drawing indicates the identifying indicia suggested in Section 1.84(c) on the reverse side of the drawing.

- (b) a copy of the Notice to File Missing Parts.

Date:

2/19/02

Signature of Practitioner

Reg. No.: 33993
Tel. No.: 630-505-1460

Robert B. Polit
Polit and Associates, LLC
3333 Warrenville Road
Suite 520
Lisle, IL 60532
US

CERTIFICATE OF MAILING (37 C.F.R. section 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

2/19/02

Janine E. Rauch

(type or print name of person mailing paper)

Signature of person mailing paper

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MAR 05 2002

0360 \$

PTO SB 17 (11-01)

Approved for use through 10/31/2002 OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$1,994.00)

Complete if Known

Application Number 09 931,268
Filing Date August 16, 2001
First Named Inventor Linlin Chen
Examiner Name Unassigned
Group Art Unit 1743
Attorney Docket No. 4492P1260US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number 50-1606
Deposit Account Name Polit & Associates, LLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$740.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims 22 -20** = 2 x 18 = 36
Independent Claims 5 -3** = 2 x 84 = 168
Multiple Dependent =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$204.00)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	920.00
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	130.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$1,050.00)

SUBMITTED BY

Name (Print Type): Robert B. Polit

Registration No. 33993
Attorney Agent

Complete (if applicable)

Telephone 630-505-1460

Signature

Date

2/19/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

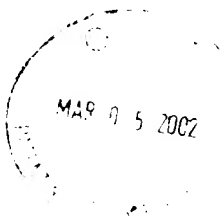


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09 931,268	08 16 2001	Linlin Chen	4492P1260US

Robert B. Polit
Polit & Associates
Suite 520
3333 Warrenville Road
Lisle, IL 60532



CONFIRMATION NO. 2230

FORMALITIES LETTER



OC000000006561292

COPY

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$196.
 - \$36 for 2 total claims over 20.
 - \$160 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1036.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

03/08/2002 11:11 AM 09931268
01 FC:101 740.00 00
02 FC:103 36.00 00
03 FC:102 168.00 00
04 FC:105 130.00 00

A copy of this notice MUST be returned with the reply.

G-A

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE